

Vanessa Knowles

Date: 3/9/00

Assistant Commissioner for Patents  
BOX PATENT APPLICATIONS  
Washington, D.C. 20231

## REQUEST FOR A CONTINUING APPLICATION UNDER 37 CFR 1.53(b)

Sir:

This is a request for filing a continuation application under 37 CFR 1.53(b)a

- ( ) Continuation application of:  
(X) divisional application of:

### Pending Prior Application

Application Serial No. 09/272,937 filed: filed: March 19, 1999.

**Docket No. : UNIV0001D6**

Name of Applicant(s): David Donoho, David Hindawi and Lisa Lippincott

## Copy of Application

- ( ) Enclosed is a copy of the prior application, including the drawings
- ( X ) Enclosed is a new specification, including new drawings.
- ( X ) Enclosed is an Information Disclosure Statement and 1449 Form. All of these have been previously cited in the parent application (serial No. 09/272,937). Applicant has not provided copies of these references as they are readily found in the parent application's file. Please transfer these references accordingly.
- ( X ) Enclosed is a copy of the small entity statement as filed with the parent application.

### Oath or Declaration

- ( X ) Copy from a prior application (37 CFR 1.63(d))  
( ) Newly executed (original or copy)

## Foreign Priority

- ( ) Foreign priority under 35 USC 119 has been claimed in prior application

Serial No.      Filed on      in

- ☐ This certified copy has been filed in prior application

Serial No.      Filed on

- ( ) A separate paper claiming direct priority to a foreign application is enclosed herewith.

A certified copy of the foreign application will be provided in due course.

**Relate Back - 35 USC 120**

- ( ) Delete the original title and replace it with:
- ( ) Amend the specification after the title by inserting the following heading:  
--Cross Reference To Related Application(s)--' and add the paragraph:  
--This is a ( ) continuation (X) divisional--'

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[illegible]

### Inventorship Statement

( ) Delete the following named individuals as inventors in this application in accordance with 37 CFR 1.53(b) as a result of a change in the claimed subject matter:

### Appointment of Associate Attorney

- ( ) Recognize as Associate Attorney or Agent  
Registration No.
- ( ) Authorization is hereby granted by signature below of the Attorney or Agent  
of record.
- ( ) The Associate Attorney or Agent shall not have the authority to appoint other  
Attorneys or Agents.

### Communications

All correspondence connected herewith should be sent to:

Michael A. Glenn  
Glenn Patent Group  
3475 Edison Way, Suite L  
Menlo Park, CA 94025

All telephone calls connected herewith should be directed to:

Michael A. Glenn (650) 474-8400

### Other Amendments

- ( ) Before calculating the filing fee, amend the prior application as follows:
- ( ) Cancel the following claims of the prior application before  
calculating the filing fee ( At least one independent claim must be retained  
for filing purposes).
- ( ) Enter the enclosed preliminary amendment.

The filing fee has been calculated as shown below for ( X ) utility ( ) design :

Fee Calculation ( small entity )					
Fee	Claims	Included with	Extra		
Items	Filed	Basic Fee	Claims	Fee Rate	Total
Total Claims	38	20	18	\$ 9.00	\$ 162.00
Independent Claims	4	3	1	\$ 39.00	\$ 39.00
Multiple Dependent Claim Fee ( for one or more )				\$ 260.00	
Assignment Recordation Fee				\$ 40.00	\$0.00
Basic Filing Fee				\$ 345.00	\$ 345.00
<b>Total Fees</b>					\$ 546.00

The Commissioner is authorized to charge the filing fee of \$546.00 and any additional fees, or credit any overpayments, to Deposit Account 07-1445 (Order No. UNIV0001D6). A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,



Michael A. Glenn  
Reg. No. 30, 176

Attorney Docket No. UNIV0001

Applicant or Patentee: David Leigh Donoho, David Salim Hindawi, and Lisa Ellen Lippincott  
Serial No.: \_\_\_\_\_ Filing Date: Herewith  
Patent No.: \_\_\_\_\_ Issued: \_\_\_\_\_  
For: METHOD AND APPARATUS FOR COMPUTED RELEVANCE MESSAGING

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
37 CFR 1.9(f) and 1.27(b) - SMALL BUSINESS CONCERN

I hereby declare that I am:

( ) the owner of the small business concern identified below:

(X) an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Universe Communications, Inc.

ADDRESS OF CONCERN 2180 Dwight Way, Suite C, Berkeley, California 94704

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3 - 18 and reproduced in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled: METHOD AND APPARATUS FOR COMPUTED RELEVANCE MESSAGING by inventor(s) David Leigh Donoho, David Salim Hindawi, and Lisa Ellen Lippincott described in:

(X) the patent application filed herewith

( ) application serial no. \_\_\_\_\_, filed \_\_\_\_\_

( ) patent no. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above-identified small business concern are not exclusive, each individual, concern, or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than an inventor, who could not qualify as a small business concern under 37 CFR 1.9(d), or by any concern that could not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

( ) no such person, concern, or organization

( ) persons, concerns, or organizations listed below\*

\* NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

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Attorney Docket No. UNIV0001

FULL NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING David S. Hindawi  
TITLE OF PERSON OTHER THAN OWNER President  
BUSINESS ADDRESS OF PERSON SIGNING 2180 Dwight Way, Suite C  
Berkeley, California 94704

SIGNATURE *David S. Hindawi* DATE March 12, 1988

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